



Constructing theoretical frameworks in social science research



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Theoretical frameworks generally serve as the intellectual scaffolding that underpins research processes in the social sciences, providing an analytical lens through which researchers make sense of complex social phenomena.

This article is an exploration of the fundamental role of theoretical frameworks in social science research. Firstly, it sheds light on its ontological, epistemological and methodological design imperatives, beginning with an elucidation of the concept of theoretical frameworks within the realm of social science research. Secondly, the significance of integrating theoretical frameworks in the research process is analysed, with an emphasis on the fact that it enhances the rigour and clarity of scholarly inquiry by guiding researchers when formulating research questions and hypotheses and in the interpretation of research findings.

It conducts a literature review following an abstract conceptual design in an interpretivist research paradigm.

Steps are proposed that can be followed when constructing a theoretical framework, using the construct 'poverty' as example.

Since social science research deals with intricate and multifaceted social phenomena, theoretical frameworks provide researchers with a structured lens through which they can make sense of this complexity, offering a systematic way to organise and analyse data and observations. They also ensure that the study is focussed and aligned with existing knowledge and relevant theories.

Transdisciplinary contribution: The significance of inter-, multi- and transdisciplinarity in the construction of theoretical frameworks in social science research are expounded by accentuating the ways in which knowledge domains and methodologies of multiple disciplines create a comprehensive understanding of complex social phenomena.

Keywords: theory; theoretical frameworks; social science; research; poverty; interdisciplinarity; transdisciplinarity; ideology; paradigms; concepts; constructs.

Introduction

The construction of theoretical frameworks is deeply rooted in philosophical inquiry, ideological perspectives and intellectual exploration. Such frameworks mirror the meta-perspectives of the social sciences, allowing for profound reflection on the complexities of human behaviour and the nature of social dynamics. They often transcend disciplinary boundaries, promoting interand transdisciplinary research. The integration of ideas, theoretical approaches and concepts from various social science study domains fosters a holistic understanding of complex social phenomena.

It is generally expected that postgraduate students of the social sciences, both basic and applied, include relevant theoretical frameworks in their research. It is, furthermore, assumed that theses and dissertations should make an original contribution to the existing corpus of knowledge pertaining to a particular field of study by reflecting on the support base of theory or, conversely, by demonstrating ways in which the theory might not be as explanatory as originally assumed. However, postgraduate students often find it difficult to acquire scholarly work that takes a particular theoretical approach because journal articles or textbooks are domain or topic focussed and rarely explain the epistemological, ontological, teleological or sociological dimensions applicable to scholarly inquiry into those domains or topics.²³ Babbie and Mouton,⁴

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Brydges and Batt,⁵ Casanave and Li,⁶ Hofstee,⁷ Kumar⁸ Mensah et al.⁹ and Ritchie et al.¹⁰ confirm that scholars in the social sciences are confronted with a seemingly daunting task when seeking to delineate their theoretical frameworks in research, without grasping the significance thereof or without having the required knowledge or guidance on how to construct such frameworks. According to Mensah et al.,9 as a result, 'their research findings become weak because of the inappropriate application of a suitable theoretical framework' (p. 54). Although most scholarly work on this topic reflects the conceptual clarification of theoretical frameworks, the literature is silent regarding the precise procedures that need to be followed while creating these kinds of frameworks or and does not offer appropriate examples thereof. Considering these gaps in the literature, the purpose of this article is to underscore the indispensable role of theoretical frameworks in social science research, with an emphasis on their multifaceted importance in shaping the research process, facilitating knowledge construction and production and, overall, enhancing the credibility of social science scholarship. The intention is not to delve into the deep heuristic, philosophical and epistemological dimensions of social science in general or theory in particular. Nor is the idea to make provision for the multitude of domain assumptions, research traditions and paradigms of individual scholarly disciplines in the social sciences. A broad, inclusive approach is rather followed to advance insight into the 'how to' question by presenting an example in the form of a theoretical framework for the construct 'poverty'. By providing a holistic understanding of the methodological significance of theoretical frameworks, the article illuminates the possible pathways of scholarly inquiry and thereby fosters theoretical insights into the nature of social science research. A conceptual design is particularly useful in this context, as it provides an abstract and coherent framework that clarifies the foundational principles and interrelationships of the major components within theoretical frameworks.

The peculiar nature of social science research

Social science research can be regarded as a systematic and structured process aimed at understanding human behaviour, societies, group dynamics and social phenomena. It involves formulating research questions, applying theory and methodology, collecting and analysing data, interpreting results, adhering to ethical principles and disseminating findings to contribute to both theory development and potential solutions for societal challenges. As such, social science research can be exploratory in nature, that is, seeking to understand and describe social phenomena, or it can be descriptive in nature by documenting and analysing existing conditions, trends and patterns. 3,15,16

Unlike the (logic-)positivistic approach typically followed in natural science research, contemporary social science research makes provision for postmodern traditions characterised by naturalistic and interpretivist paradigms.¹⁷

These paradigms facilitate insights into complex, often unquantifiable, human behaviour and social dynamics.³ In this regard, Williams¹⁸ maintains that social scientists deal with 'murky' concepts (p. 1). Qualitative design approaches such as hermeneutics, critical theory, phenomenology, ethnography and constructivism place emphasis on this 'murkiness' by factoring in subjectivity, context, interpretation and the critique of established knowledge.¹⁹ Social science researchers employing these approaches might be open to exploring multiple theoretical perspectives and acknowledging the limitations of objectivity in social research.^{3,4,20}

It is generally expected that the social sciences should contribute to the development of knowledge that can lead to positive societal changes and improvement in human conditions. This requires 'theoretical sensitivity' (p. 1).²¹ The latter implies that social science research is often guided by existing theories or models that provide a framework for understanding and explaining social phenomena.²² Researchers might also develop new theories (e.g. grounded theory) based on empirical findings.

The theory of 'theory' and theoretical frameworks

In postgraduate studies, candidates often confuse the notion of 'theory' with a literature study (p. 95).⁷ However, theory denotes a far more complex meaning and fulfils a much deeper heuristic function than such a review. Theory entails propositions that present a 'systematic view of phenomena by specifying relations between variables with the purpose of explaining and predicting phenomena' (p. 36).²³ The development and application of theories are central to the advancement of knowledge and the understanding of the dynamic and evolving nature of social life. In the context of the social sciences, a theory typically comprises a framework of ideas, concepts, principles and hypotheses that are intended to explain, predict or understand various aspects of human behaviour, society or social phenomena.

Theories differ vastly in terms of form, scope and function. Functional theories are aimed mainly at establishing mental models and typologies for the purpose of analysis.²⁴ Theories can also be empirical or normative in nature. Normative theories contain value judgements, while empirical theories tend to be used to describe social phenomena without evaluating it. They contain statements that can be measured empirically.²⁵ Theories can, furthermore, be regarded as fundamental building blocks for developing a coherent and structured understanding of the complex and multifaceted nature of human interactions and societies.²⁶ As such, theories provide 'abstract explanations or descriptions of how concepts are related to one another' (p. 90).⁵

The development of theories in the social sciences is typically a dynamic and iterative process. It often involves a combination of deductive reasoning (starting with general principles and deriving specific hypotheses) and inductive reasoning (starting with observations and data and generating theories from these observations).^{27,28} The nature of reasoning followed, the choice of theory and the theory development process depend on the research question, the scope of the study and the underlying philosophical and methodological approach of the researcher.²⁹

In the dynamic interplay of an apparently endless number of variables influencing social dynamics and human behaviour, social scientists utilise theoretical frameworks as their compass or 'guide' (p. 56).8 As such, the construction and application of theoretical frameworks in social science research are instrumental in unravelling complex social phenomena, offering thick descriptions of often intricate patterns, interrelationships and dynamics that characterise such phenomena.1 According to Maree,30 a theoretical framework constitutes several levels of theory and provides a model in terms of which 'the results of a study can be interpreted when situating the results within the broader existing body of knowledge and indicating any similarities, contradictions, silences and new insights' (p. 34). As such, a theoretical framework serves as a foundational review of existing theories that serve as a roadmap for developing the premises, assumptions and arguments applicable to a particular study.31 In this regard, Connaway and Radford32 hold that a theoretical framework 'utilises theories and their constituent elements as the presumed "working model" that drives the investigation and analysis of a social phenomenon'. Simply expressed, the foundation for conducting research is laid forth in a theoretical framework.33 It should contain appropriate theories to facilitate the best possible comprehension of the research topic, research questions, research problem, data gathering and analysis.34 Theoretical frameworks furthermore foster a deep understanding of social phenomena and offer a systematic pathway to exploring the multifaceted nature of scholarly inquiry through 'theory triangulation' (p. 183).20 In contrast, Hiebert et al.¹³ take a far narrower view of theoretical frameworks; they regard them simply as a 'custom-made theory that focuses specifically on the hypotheses one wants to test and the research questions one wants to answer ... It does no more and no less' (p. 51). One may argue, however, that this oversimplification refers to a single theory relevant to a study and not the infusion and integration of the various levels of theory to constitute the framework of theories typically associated with social science research, in which multiple concepts and variables are considered.

Garvey and Jones¹⁷ maintain that theoretical frameworks can be utilised to guide the analyses of the findings by suggesting concepts and relationships to explore. The framework might provide a sense of the 'story' emerging from the analyses (p. 1). In this respect, Radhakrishna et al.³³ regard a theoretical framework as a 'collection of related ideas or models that are used to direct research with the intention of foretelling and explaining study results' (p. 692). The rich or thick description provided by the

analyses might allow the framework to be more deeply appreciated than previously. Garvey and Jones¹⁷ caution, however, that there is a risk that using too narrow a theoretical framework might stifle inductive reasoning or result in findings that are incongruent to the data.

Four layers or levels of theory are distinguishable (see Figure 1). Meta-theory refers to a high order, abstract underlying framework incorporating fundamental philosophical dimensions and perspectives.³⁵ It facilitates delving into the epistemological and ontological assumptions that underlie theories.²² As such, meta-theory enables deep-rooted analysis and critique of existing theories. Grand theory, the second level, refers to broad paradigmatic perspectives that usually give rise to comprehensive and abstract frameworks that constitute an attempt to explain and understand the broad and philosophical or fundamental aspects of a phenomenon.²³ Examples of grand theories in the social sciences include symbolic interactionism, world systems theory, Karl Marx's theory of materialism, critical theory and structural functionalism as proposed by Emile Durkheim. The third level is middle range or macro theories that are more specific than grand theories and offer explanations of a narrower set of social phenomena or issues.³⁶ They are thus more focussed and provide a bridge between grand theories and empirical research, connecting abstract concepts to observable social events.³⁶ Examples include identity theory, social capital theory, collective behaviour theory, transactional leadership theory and social learning theory. The fourth level, namely low-level or micro theories, is far narrower in scope and is focussed on understanding issues such as interpersonal conflict, motivation, organisational performance, financial processes or managerial decision-making.

Theoretical frameworks in social science research should preferably incorporate, or at least consider, all four levels of theory.

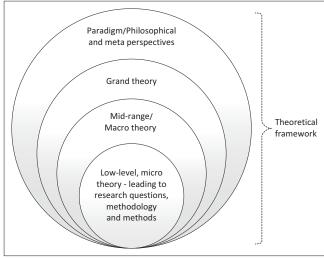


FIGURE 1: Levels of theory.

The theory-ideology-paradigm interfaces

Theory, ideology and scientific paradigms are interconnected in the realm of knowledge construction and production. This is particularly true in the social sciences. Understanding their relationships can help shed light on how ideas and beliefs shape scientific inquiry in general and the construction of theoretical frameworks in particular.^{3,35}

As noted earlier, theories are an integral part of scientific inquiry and provide a structure for understanding and explaining the social world. The development and acceptance of theories can be influenced by ideological beliefs. Ideology refers to a system of beliefs, values and ideas that guide individual or collective behaviour and thoughts.37 Ideologies, such as anarchism, communism, conservatism, fascism and feminism, can pertain to political, social, economic or moral perspectives and often shape how people perceive the world and make decisions. Ideological views eventually become imbedded in perspectives. Hancock³⁸ regards ideology as the 'taken-for-granted assumptions' of the social and cultural world (p. 2), while Waldfogel et al. 39 argue that ideology 'selectively shapes attention' (p. 1). Researchers might thus approach the formulation of theories with certain ideological biases or worldviews.²² For example, behaviourists investigate how incentives shape human behaviour, feminists look at the world through the lens of power and the oppression of women, while functionalists examine how the concepts and ideas in our societies structure the maintenance of social order. Ideologies might impact the selection of research topics, the interpretation of data and the way theories are constructed.40 Researchers should thus be aware of the potential biases and ideological assumptions associated with their chosen perspective and work towards a more balanced and evidence-based analysis.9,41

In addition to ideology, theories are typically formulated within the context of scientific paradigms. The latter are dominant or widely accepted models or frameworks for conducting research within a particular field.⁴² Popularised by the work of Thomas Kuhn, scientific paradigms often encompass a set of theories that are considered central to the field.⁴³ Theories that align with the prevailing paradigm are more likely to gain acceptance and recognition within the scientific community.⁴⁴

It is important to recognise that while there are links between theory, ideology and scientific paradigms, the scientific researcher strives for objectivity and empirical validation. Sound scientific practice involves subjecting theories to empirical testing and peer review to reduce the impact of ideology and ensure that theories and paradigms are grounded in evidence.⁴⁵ The influence of ideology and paradigms on the direction of scientific inquiry is a topic of ongoing discussion and debate in the philosophy of science.

Inter- and transdisciplinary perspectives of theoretical frameworks

Social science is generally organised in various knowledge domains. Over time, each of these domains has been further divided into fields, subfields and disciplines.⁴⁶ Disciplines in the social sciences are characterised by a specialised, demarcated and relatively well-defined subject matter or body of knowledge.⁴⁷ They are grounded in ongoing theoretical development and new insights continually emerge as the field advances. Disciplines are, furthermore, characterised by specific traditions, assumptions, research methods and approaches.48 Scholarly communities such as professional associations and academic 'tribes' emerge as the body of knowledge and the scientific recognition of disciplines develop (p. 9).49 These communities, using specific concepts and terminologies, ensure that the field of study develops through interdisciplinary engagement and peer reviews. It is, furthermore, expected that academic disciplines should have a broad societal impact beyond the academic realm by offering practical applications, inform policymaking, drive innovation and address societal challenges.46 These characteristics collectively contribute to the recognition and legitimacy of a discipline, as taught at institutions of higher learning.

Because of the multidimensional nature of social constructs and phenomena, social science research often involves collaboration between different disciplines, in recognition of the fact that human behaviour and society are multifaceted and complex. To supplement their corpus of knowledge, researchers might therefore draw appropriate theories, models and approaches from various adjacent or reference disciplines to gain a holistic and comprehensive understanding. Thus, in investigating complex social phenomena, interdisciplinarity and transdisciplinarity approaches are utilised to address complex problems that require theoretical insights from multiple academic disciplines (see Figure 2).

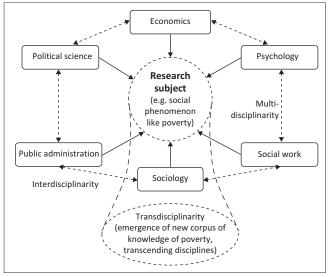


FIGURE 2: Disciplinary perspectives (theory) to a social phenomenon.

Unlike multidisciplinarity, which involves the engagement of multiple disciplines in a research project or problem without necessarily integrating the approaches or knowledge, interdisciplinarity involves the collaboration and integration of knowledge from two or more academic disciplines to address a specific problem or question.⁵² Scholars from the relevant disciplines work together to combine their expertise and methods. The focus is on crossing the boundaries between disciplines to gain a 'holistic understanding' of the issue (p. 124).⁵³

Transdisciplinarity constitutes an attempt to go beyond interdisciplinarity, with the aim of creating a new, holistic understanding of a problem by integrating knowledge from multiple disciplines, along with insights from non-academic stakeholders (such as practitioners, communities or policymakers). It is focussed on a common goal of creating a new knowledge base that transcends individual disciplines. In this regard, Baker et al. Fefer to the 'collaborative knowledge building' properties of transdisciplinarity (p.1). As such, researchers who adopt this approach seek a higher level of integration and synthesis than those who practise interdisciplinarity.

Both inter- and transdisciplinarity play important roles in the construction of theoretical frameworks in social science research, each offering unique benefits and insights. Interdisciplinary perspectives (represented by the dashedlined arrows between disciplines in Figure 2) are significant in constructing theoretical frameworks because they enable social scientists to draw upon the knowledge and methodologies of multiple disciplines to create a comprehensive understanding of complex social phenomena. This approach facilitates a holistic view of the subject under investigation, and, by integrating insights from various disciplines, researchers can delve deeply into the complexities of social issues and gain a nuanced understanding. The combination of different disciplinary approaches can lead to the discovery of connections and patterns that might be overlooked when using a single disciplinary lens.

Multidisciplinary perspectives (represented by the solidlined arrows between the disciplines and the research subject in Figure 2) are significant in constructing theoretical frameworks when researchers aim to include a wide range of disciplinary perspectives without necessarily seeking deep integration. Researchers can explore several dimensions of a problem in parallel, which might be helpful in terms of gaining diverse insights. Interdisciplinary perspectives, in turn, are highly significant for the construction of theoretical frameworks, as they make it possible to integrate theories and knowledge domains from various disciplines. A new corpus of knowledge emerges because of insights gained by inter- and multidisciplinary collaboration. The new body of knowledge usually transcends individual disciplines and cannot necessarily be traced back to the original disciplines. The aim of utilising this approach is to address complex, realworld problems from a holistic perspective. It encourages a

comprehensive understanding of social issues by integrating academic knowledge with practical insights and experiences.⁵³

The significance of inter- and transdisciplinarity in constructing theoretical frameworks in social science research depends on the research goals, the level of abstraction and the complexity of the social issue being studied. Researchers should carefully choose the approach that best aligns with their objectives and the depth of integration required to advance understanding and address practical challenges in the social sciences.

The significance of literature reviews for the construction of theoretical frameworks

Literature reviews involve a comprehensive examination of existing academic literature, including studies in which similar or related topics have been investigated. A literature review requires researchers to synthesise and summarise the findings of previous studies. This synthesis helps researchers to gain a deep understanding of the topic and to identify any inconsistencies or gaps in the literature. These gaps and inconsistencies often indicate areas in which a new or modified theoretical framework is required. During this process, researchers identify existing theories, concepts and models that are relevant to the research area. These theories serve as the foundation for constructing the theoretical framework.

Theoretical frameworks typically emerge from a robust literature review through a systematic process of synthesising and integrating existing knowledge, theories, models and concepts related to the topic of interest. These existing theories become the foundation upon which the research is built. As theoretical frameworks are constructed based on a specific theoretical perspective or school of thought, the literature review helps researchers to choose the most appropriate theoretical perspective that aligns with the research objectives and the existing body of knowledge. Considering interdisciplinarity, researchers might select one or more theories or combine elements from multiple theories to construct a customised framework. The literature review informs the conceptualisation of key variables and constructs in the study. The theory's principles and propositions guide the formulation of testable statements about the relationships between variables.2

The interrelationship between theoretical and conceptual frameworks

Conceptual and theoretical frameworks are both critical components of social science research. Adom et al.⁵⁸ regard both as 'mandatory ingredients' to enhance the quality of research (p. 438). While a conceptual framework is often referred to interchangeably with a theoretical framework, it has a distinct purpose.³⁴

According to Chukwuere, 59 Grant and Osanloo2 and Ravitch and Riggan,60 a conceptual framework is used to clarify concepts and constructs, map and organise ideas and identify interrelationships and causality between possible variables. As such, it helps to visualise a research project by defining key concepts and constructs and by identifying possible variables influencing them.⁵ In contrast, Mensah et al.⁹ regard a conceptual framework as broader than a mere map of concepts and constructs. They define it as the overall conceptualisation of the entire study. These authors thus attach design and planning properties to the notion of a conceptual framework by defining it as 'the entire conceptualisation of your research project ... the big picture, or vision, comprising the totality of research' (p. 53). The position adopted in this article is the identification of variable relationships, what Grant and Osanloo² refer to as a 'diagrammatic representation of how the concepts underpinning the study relate to one another' (p. 14).

A literature review reveals that there is limited consensus regarding the linear or unidirectional nature of conceptual and theoretical frameworks. Scholars such as Chukwuere⁵⁹ and Williams,¹⁸ for example, hold that a conceptual framework is the result of a theoretical framework, while others, such as Adom et al.,⁵⁸ Collins and Stockton,²⁹ and Garvey and Jones¹⁷ maintain that conceptual frameworks precede a theoretical framework. The more correct position might be both. Figure 3 illustrates the omnidirectional position held in the view of the author by using 'poverty' as an example.

The relationship between concepts guides the identification of appropriate theories, while the 'content' of theories might also inform the conceptual framework. As confirmed by Fuhse, 22 concepts do not capture reality 'as it is'; they rather capture what is of interest to researchers. Fuhse 22 suggests that theory should be interpreted with regard to the relationships between concepts. This suggestion is fully supported. It should be noted, however, that ontological positions in theory might reveal key concepts appropriate for the design of a conceptual framework. As alluded to in the ideological perspective highlighted above, key concepts associated with socialism, for example, might include government spending on social programmes, the quality of public services, labour rights and income redistribution

through taxation, while concepts such as class structure, labour relations, exploitation and economic superstructure are applicable to Marxism. A conceptual framework thus provides a broad and overarching structure and conceptual map of the research topic.

A theoretical framework introduces and describes the theory or theories underpinning the research problem by offering theoretical perspectives on the interrelationships of concepts and the possible causality of identified variables in the conceptual framework. A study concerned with democracy, for example, will have to include consideration of aspects such as the level and quality of citizen participation. Once this has been established (by means of a robust literature review), the theoretical framework will have to include theories pertaining to the relationship between 'democracy' and 'participation'. Thus, theoretical frameworks support research by describing and/or drawing from relevant theoretical aspects obtained in previous work. In short, a conceptual framework can be regarded as the map that guides the construction of a study's theoretical framework. It helps one to organise ideas and provides a starting point for further research. Theoretical frameworks are employed when there are existing theories or models that can be applied to the research problem. Researchers typically use theoretical frameworks to develop hypotheses, design studies and make predictions based on established principles.

Conceptual frameworks are often more flexible than theoretical frameworks, allowing researchers to modify and refine them as the study progresses or as new insights emerge. Theoretical frameworks are relatively less adaptable as they are based on established theories. Changes to a theoretical framework typically involve a substantial revision of the study design and research approach.

The significance of theoretical frameworks in the research process

Social science research typically progresses in several phases, each with its specific objectives and activities. These phases are often iterative, meaning researchers might revisit and revise earlier stages as they progress. These phases are dependent on the nature, purpose and scope of the research.

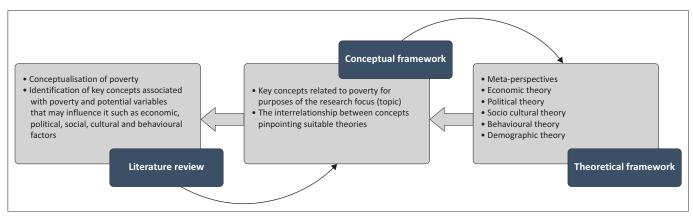


FIGURE 3: The iterative nature of conceptual and theoretical frameworks.

As such, theoretical frameworks might be variously constructed and applied in action, basic, applied, correlational, qualitative, quantitative or survey research. Theoretical frameworks play a pivotal role in the research process, guiding and informing various stages of a study in the social sciences. The significance of theoretical frameworks per research phase is briefly expounded next.

- Conceptual phase: This phase entails the demarcation of the study focus and the formulation of the research problem, objectives and questions. Researchers typically define the problem based on gaps in existing knowledge or real-world issues. The a priori knowledge of researchers, inclusive of their worldview, disciplinary paradigm and philosophical or meta-perspectives, is instrumental in guiding them in formulating research questions by providing a theoretical lens through which to view the research problem.
- Designing and planning phase: The designing and planning phase of social science research lays the foundation for the entire study and influences the quality and validity of the research findings. Based on the demarcation of the research problem and literature review conducted in the first phase, researchers should decide on the research design and appropriate methodology, including the methods for data collection, such as surveys, interviews, case studies or content analysis. They should also consider the appropriate target population and the most suitable sampling strategy. Researchers should also factor in ethical considerations, including informed consent, privacy and the protection of human subjects. The outcome of the planning phase should provide clarity regarding operational issues of the research such as the most appropriate data analysis approach, the use of suitable software, budget and resource requirements, as well as the timeline for the study. It should be noted that the choice of research design, including the selection of data collection methods and sampling strategies, is influenced by the theoretical framework. The framework informs the research design in terms of ensuring that it is capable of testing the hypotheses or central theoretical statements derived from the theory.
- Empirical phase: This phase entails the actual operationalisation of the design and planning. It includes data collection by means of surveys, interviews or other means that align with the theoretical framework. 11 Data collection instrumentation should adhere to the research design and ensure data quality. When collecting data, researchers use the theoretical framework to guide the selection of appropriate measures, survey questions and/or interview protocols. This ensures that the data collected aligns with the theoretical concepts and hypotheses.
- Analytical phase: Theoretical frameworks continue to feature in the data analysis phase. Researchers use the theory to guide the selection of statistical or qualitative analysis techniques and to interpret the findings in light of the theory's predictions. Data analysis involves the processing and interpretation of the collected data. Researchers use statistical, qualitative or other analytical

- techniques to answer the research questions and test hypotheses. This phase also entails the interpretation of results, generalisation, deductions and findings. Researchers use theoretical perspectives to make sense of their data and draw meaningful conclusions about the social phenomena under investigation. By grounding research in established theories, scholars can apply their findings to a wider context. Researchers compare the empirical results with the theoretical expectations, helping to validate or refine the theory. Fuhse²² cautions, however, that theory concerns mainly 'logical consistency' and does not necessarily represent social phenomena or research findings accurately (p. 104). Researchers should contemplate how their research findings contribute to the advancement of theoretical knowledge and how they can inform practice, policy or further research.
- Dissemination phase: The dissemination phase entails the transmission of knowledge through the reporting of the results by means of a dissertation, thesis, research reports or scholarly articles. In the discussion and conclusion sections of a dissertation or thesis, the theoretical framework should be revisited. Researchers should assess the implications of their findings for the theory and its broader relevance to the field. The use of theory in research thus contributes to the cumulative development of knowledge in social sciences. New research often builds upon or refines existing theories, leading to a deeper understanding of social processes. Research can contribute to the advancement of social theories by testing, expanding or challenging existing frameworks. Empirical findings might lead to the refinement or development of new theories to better explain social phenomena. Researchers might draw on the theory to recommend interventions or strategies based on the theory's insights. By identifying gaps in the existing theory or unexplored aspects of the research problem, researchers can suggest areas for further study.

Thus, throughout the research process, theoretical frameworks serve as a foundational structure, providing a roadmap that links the research questions, data collection, analysis and interpretation. They ensure that the study is conceptually grounded and that the research findings contribute to the broader theoretical knowledge in the field.

Addressing the 'how to' question: A theoretical framework for scholarly inquiry into 'poverty' as an example

The purpose of this section is to provide guidance for the construction of a theoretical framework by proposing practical steps that should be followed. It is important to note that the use of poverty and the research angle will significantly influence the design of the theoretical framework. For example, rural or urban settings, country cases, gender considerations and ideological contemplations will all

eventually influence the choice of theory. Also, the specific research angles, such as social, economic, education, capacity, technology, job creation or community development perspectives will, of course, dictate the mid-level and micro theories eventually chosen for the research. The scope of this article does not allow for an elaborate theoretical framework, and therefore only the construct 'poverty' is used as a practical example. No claims regarding the completeness of the proposed theoretical framework are made. It merely serves as an example and is subject to refutation and adjustments. The recommended steps to construct a theoretical framework will be outlined first, followed by the proposed possible grand, mid-level and micro theories applicable to poverty (Figure 4).

Step 1: Literature review and conceptualisation (conceptual framework)

Constructing a theoretical framework for research on absolute and relative poverty involves identifying the key elements and variables that contribute to a deeper understanding of poverty as a socio-economic phenomenon. The first step is to clearly conceptualise the notion 'poverty' to identify all related concepts, constructs and variables that might influence its nature and scope. A robust literature study will reveal that poverty in its most basic form represents a state of economic deprivation and low living standards. Deprivation includes exclusion from things that determine the quality of life, including food, shelter, clothing and access to clean water. As such, poverty does not refer only to

Meta-perspectives (Ideology and	paradigms)				
Social Dominance Orientation	Social Democratic Perspectiv	e	Exclusionism		New Liberalism
Marxism	Capitalism		Liberalism		Socialism
Postpositivist paradigm	Interpretivist or Constructivis	t paradigm	Transformative or Critical	paradigm	Pragmatist paradigm
Grand theory					
World-Systems Theory	Depe	ndency Theory		Postcolonial indigenous The	eory
Neoclassical Economic Theory	Post-I	Keynesian Economics 1	heory	Economic Conservatism	
Middle-range theory					
Structural-Functionalism Theory	Neoc	assical Economic Theo	ory	Social Capital Theory	
Political Economy Theory	Enviro	onmental Justice and S	ustainability Theory	Environmental Determinati	on Theory
Economic Sociology Theory	Ethica	al and Normative Theo	ry	Post-Development Theory	
Economic Anthropology Theory	Enviro	onmental Sociology Th	eory	Human Development and F	luman Capital Theory
Human Environment Relations Th	eory Cultu	re Determination Theo	ory	Post-Colonial Theory	
Micro-level theory					
Study focus	Possi	ole theories			
Social and cultural focus	(Vicio	us) Cycle of Poverty Tl	neory		
	Socia	Social Exclusion Theory			
	Marg	Marginalisation Theory			
	The C	The Culture of Poverty Theory			
	Cultu	re of Dependency The	ory		
	Life C	Life Course Theory			
	Struc	Structural Theory			
	Socia	Social Stratification Theory			
	Inters	Intersectionality Theory			
	Socia	Social Justice Theory			
	Aging	Aging and Gerontology Theory			
Psychological or behavioural focu	s Psych	Psychological Theory of Resilience Social Support and Social Networks Theory			
	Socia	Social Psychological Theory of Stereotyping and Stigma			
		Psychological Theory of Motivation and Goal Setting			
		Individualistic Theory			
		and Youth Developme	nt Theory		
Financial or economic focus		ne Inequality Theory			
		-Based Theory			
		omic Mobility Theory			
		credit and Microfinan			
		ral Theory of Consump	-		
Environmental or geographical fo		tion and Urbanisation	Theory		
	-	raphic Theory			
Resources or assets focus		irce-based Theory			
		ve Deprivation Theory			
		nable Livelihoods The	·		
	Comr	nunity-based Develop	ment Theory		
	Healt	h and Healthcare Acce	ss Theory		
	Food	Insecurity and Nutrition	on Theory		
	Digita	l Divide and Technolog	y Access Theory		

FIGURE 4: Possible theoretical framework for 'poverty'.

restrained access to financial resources (i.e. income) but also to limited or no access to essentials for a minimum standard of living.

Step 2: Identify concepts, constructs and core dimensions associated with poverty

Once a comprehensive definition of poverty is established, the second step is to identify its core dimensions, as each dimension might require the investigation of a unique theory. The literature study undertaken in Step 1 will show that dimensions of poverty typically include the following:

- Economic poverty, including factors related to income, assets and financial wellbeing.
- Social poverty, including factors such as education, healthcare and access to social services.
- Environmental poverty, including considerations such as living conditions, housing and access to safe environments.
- Psychological poverty, including dimensions relating to the psychological wellbeing of individuals living in poverty, including self-esteem, mental health and stress.

The core dimensions and indicators of poverty outlined by scholars, such as education, health and living standard, are useful in this regard.

Step 3: Identify determinants and mediating variables

The third step is to identify the relationship between the determinants and mediating variables of poverty. These can vary significantly based on individual and contextual factors. For example, low educational attainment can lead to reduced employment opportunities, which, in turn, can contribute to economic poverty. Cultural and societal norms can influence an individual's access to social and health support networks and government assistance. Mediating variables might include socio-economic status, employment opportunities, government policies and social safety nets, income levels, education attainment, access to healthcare and overall health status, housing quality and psychological wellbeing. The successful completion of this step is dependent on the quality and scope of step 1, the literature review, to conceptualise poverty.

Step 4: Consider suitable ideological and paradigmatic perspectives and identify a grand theoretical framework

Typical ideological and paradigmatic perspectives of poverty include Marxist theories, which generally hold that the poor are poor because they are powerless and exploited by capitalists. Liberal theories, on the other hand, maintain that the poor are poor as they are inefficient.

Step 5: Consider interdisciplinary perspectives for theory identification

Scholarly inquiry into poverty is a multidisciplinary endeavour because it encompasses a wide range of social,

economic and cultural aspects. Several disciplines might contribute to the study of poverty (cf. Figure 1). Each discipline might offer appropriate micro theories to investigate the dimensions, determinants and mediating variables. Some of the key disciplines and study domains applicable to the scholarly inquiry into poverty include the following:

- Economics: Here the focus is on the economic factors that contribute to poverty, economic growth and development strategies, as well as issues related to employment, wages and labour market dynamics, which are critical to understanding income poverty. Economic theories such as neoclassical economics can help one to analyse economic poverty. Here focus is on factors such as income, consumption and wealth disparities. Income inequality theory concerns the distribution of income within a society and how disparities in income contribute to poverty. The Gini coefficient and the Kuznets curve are often used in this context.
- Sociology: This discipline concerns the social structures and systems that perpetuate poverty, including issues related to social class, race and gender. It also includes an exploration of urban poverty, including issues such as slums, housing and social services in urban areas. Social capital theory is focussed on how social networks, relationships and community connections impact an individual's wellbeing and access to resources. Social exclusion theory, as another example, concerns how certain groups or individuals are marginalised or excluded from participating in social, economic or political life, while structural functionalism is an examination of how social structures and institutions contribute to persistent poverty. It is, basically, an exploration of how systems such as education or labour markets can reinforce inequality.
- Political Science: This study field is characterised by an examination of political dynamics, inclusive of how government policies impact poverty, social welfare and poverty alleviation programmes. In the case of political economy, scholars in this discipline explore the intersection of politics and economics, including how political decisions affect poverty.
- Public Administration and Management: Here the primary focus is on the implementation of government policies, strategies and programmes to address systemic poverty through job creation, economic stimuli packages, social safety nets and grants, employment and labour policies, housing assistance, education initiatives, skills training, universal and preventive healthcare, agricultural and rural development programmes and community development initiatives. Theories pertaining to policy analysis and public administration facilitate the evaluation of the effectiveness of government policies and programmes aimed at poverty alleviation.
- Anthropology: This study domain comprises an investigation of the cultural aspects of poverty, including how cultural norms and practices impact access to resources. Scholars in this field might also study economic

systems, trade and exchange in a variety of cultures, shedding light on poverty dynamics. Cultural poverty theories, for example, relate to how cultural norms, values and practices can influence access to resources and opportunities. Feminist theories emphasise the gendered aspects of poverty and how social, economic and political structures can reinforce gender disparities, while intersectionality theory accommodates how multiple aspects of identity (e.g. gender, race, class) intersect to create unique experiences of poverty.

- Psychology: This field is an exploration of the psychological aspects of poverty, including its impact on mental health and stress, and how cognitive biases and decision-making processes influence economic choices related to poverty. Capability approach theory, for example, characterises poverty in terms of the capabilities and freedoms that individuals have, encompassing not only the economic aspects but also health, education and other dimensions of wellbeing.
- Public Health: This domain concerns the relationship between poverty and health outcomes, including access to healthcare and the social determinants of health. Its focus is on the unique challenges of rural poverty, including agricultural livelihoods and infrastructure access. Urbanisation and urban poverty theory, for example, concern the issues specific to urban areas, such as slums, housing and access to urban services.
- Education: This domain constitutes a study of the role of education in poverty alleviation and how educational disparities contribute to poverty and the impact of poverty on academic achievement and educational outcomes. Human development theory, for example, exemplified by the Human Development Index, accommodates multiple dimensions of poverty, such as health, education and standard of living.
- Social Work: Scholars in this field might address poverty through social work interventions, including assistance and support for vulnerable populations. They might also study the development and impact of social policies on poverty reduction.

Researchers draw from these and other related disciplines to gain a comprehensive understanding of the causes and consequences of poverty and to develop effective policies and interventions for poverty alleviation. It is important to note that poverty is not limited to a single dimension, and that these dimensions often overlap and interact.

Step 6: Identify middle range and micro theories

The final step entails the actual construction of a suitable theoretical framework based on the outcomes of steps 1 to 5. Behavioural theories are focussed on individual behaviours, as driven by incentives and culture. The emphasis in structural theories is on the demographic and labour market context, which causes both behaviour and poverty. The assumption of political theories is that power and institutions cause policy, which in turn can cause poverty and which moderate the relationship between behaviour and poverty.

Considering these categories, Figure 4 reflects a broad theoretical framework for poverty. The content was populated through an extensive literature review covering the theoretical work on poverty.

By integrating theories from these levels or layers, researchers can gain a comprehensive understanding of the multifaceted nature of social constructs such as poverty. These theories will guide the selection of variables, data collection methods and the analysis of the research findings, facilitating a rich exploration of the causes and consequences of poverty and the potential interventions to address it.

Because of the infused nature of theory, it should be noted that a neat distinction between meta-theory, grand theory and middle-range theories is simply not possible. Depending on the focus, nature, scope and conceptual framework of the study, it is recommended that postgraduate students choose from these framework examples one grand theory and to imbed it in suitable ideological and paradigmatic perspectives. Furthermore, researchers should adopt one middle-range theory and imbed it in the selected grand theory and two or more micro-level theories relevant to the focus and locus of the study undertaken.

Conclusion

The significance of constructing theoretical frameworks in social science research cannot be overstated. Theoretical frameworks are pivotal in advancing understanding of the complex and dynamic nature of human behaviour and society. As social science research deals with intricate and multifaceted social phenomena, theoretical frameworks provide researchers with a structured lens through which they can make sense of this complexity, offering a systematic way to organise and analyse data and observations. They also ensure that the study is focussed and aligned with existing knowledge and relevant theories.

Theory thus serves as a cornerstone of social science research, providing a structured and systematic approach to understanding, explaining and investigating the social world. The utilisation of theory in research also enhances the rigour and relevance of the research findings and helps researchers to contribute to the broader body of knowledge in their respective fields. Meta-theory, inclusive of philosophical, ideological and paradigmatic perspectives, shapes the way researchers develop and evaluate theories. Ideology can influence metatheoretical choices, which, in turn, guide the development of paradigms within a discipline. Disciplinary traditions incorporate these paradigms into established practices. Grand, macro and micro theories represent the different levels of theoretical abstraction within a discipline, with each level influenced by the broader metatheoretical and ideological context. The relationship between these concepts is complex and interdependent, with each playing a role in the development and evolution of social science theories. Theoretical frameworks often transcend disciplinary boundaries, promoting inter- and

transdisciplinary research. This integration of ideas and concepts from various social science fields fosters a more holistic understanding of complex social phenomena.

Theoretical frameworks are not static; they can evolve and adapt to changing social contexts and emerging research findings. This adaptability ensures that theories remain relevant and continue to inform ongoing social science research.

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G.v.d.W. is the sole author of this review article.

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