# Predicting action potential characteristics of human auditory nerve fibres through modification of the Hodgkin–Huxley equations

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In 1952, Hodgkin and Huxley published an empirical set of equations describing changes in the neural membrane potential of the squid giant axon during the application of depolarizing or hyperpolarizing stimuli. As these equations were derived for an unmyelinated nerve fibre, there are reservations about their applicability to describe action potential propagation adequately in myelinated mammalian nerve fibres. This study proposes possible modifications of the Hodgkin-Huxley equations to describe action potentials generated in the Ranvier node of a human sensory nerve fibre, as applied specifically to the prediction of temporal characteristics of the human auditory system. Simulations of the effects of these modifications were performed in Matlab. Results suggest that the temporal characteristics of the human auditory system may be predicted better by using the modified set of equations. This finding is of value in creating more realistic neural models of the electrically stimulated human auditory system. Refractory periods were similar to those of general sensory nerve fibres of the same calibre. Relative refractory periods decreased with progressive retrograde nerve fibre degeneration. Chronaxie times decreased and rheobase current values increased when retrograde nerve fibre degeneration was simulated. Rheobase currents were also greater for straight array compared with contour array stimulation. Mean latencies decreased with progressive retrograde nerve fibre degeneration and agreed well with neural response telemetry. They also decreased with an increase in stimulus intensity.

## Introduction

Cochlear implants have been devised to help rehabilitate profoundly deaf persons by providing them with a measure of sound perception through electrical stimulation of auditory nerve fibres (ANFs). The cochlear implant injects electrical currents directly into the cochlea by means of an electrode array to stimulate the ANFs. The challenge facing researchers is how to convey meaningful speech information to the brain via electrical stimulation. In particular, a better understanding of the way the implanted auditory system decodes the temporal information carried in speech needs to be gained before improved implants can be developed. The primary means of modelling the implanted human ear is using mammalian research animals, especially cats and guinea-pigs (see, for example, Abbas and Miller<sup>1</sup> and Javel *et al.*<sup>2</sup>). Since these animals' cochleae have larger dimensions than those of smaller rodents, multi-electrode arrays similar to those implanted in humans can be used. Computational models are employed in combination with animal studies to enhance understanding of the underlying physiology of electrical hearing.<sup>1</sup> Several ANF models have been developed,<sup>3-8</sup>

which are frequently used in combination with volume-conduction models of the cochlea to predict neural excitation profiles. 4,9,10 An advantage of the computer models is that it is possible to isolate and manipulate critical model parameters, as well as modelling the differences in cochlear anatomy and ANF physiology between species, which is not always feasible with animal studies.11 Most of these models are at least partially based on animal data. However, the differences in cochlear structures between animals and humans, differences in the number and percentage myelination of auditory nerve fibres, and innervation patterns of both inner and outer hair cells across species, may be physiologically significant and care must be taken when extrapolating the animal results to predict performance in human implantees. 12,13 Computer models can only approximate a real neural system, owing to the complexity of the latter. The modeller therefore has to make certain simplifying assumptions when abstracting the real system and this places limitations on the model as to the realistic correctness and completeness of the physiology and anatomy represented.<sup>11</sup> A more comprehensive computer model based on human cochlear dimensions and peripheral auditory nerve fibre characteristics is therefore

Frijns *et al.*<sup>4,14,15</sup> developed a model based on the Schwarz-Eikhof nerve fibre model for the rat, <sup>16</sup> but adapted with guinea-pig morphometry. Recently, this Generalized Schwarz-Eikhof-Frijns (GSEF) model was adapted for human ANF simulations by employing human morphometric data only. <sup>5,17</sup> The ionic current dynamics of this model, however, are still those of the rat. Even though there are similarities between the properties of ionic currents at the rat and human Ranvier node, <sup>18,19</sup> differences such as those in the activation and deactivation characteristics of voltage-gated potassium ion (K<sup>+</sup>) channels can result in discrepancies in the predictive behaviour of nerve fibre conduction. <sup>20</sup>

A comparison of the applicability of models of squid,  $^{21}$  toad,  $^{22}$  rabbit  $^{23}$  and rat  $^{16}$  to predict excitability of human auditory nerve fibres favours the Hodgkin–Huxley (HH) model for squid, provided the nodal ion channel kinetics are accelerated tenfold.  $^{24,25}$  This modified HH model improves the prediction of human auditory nerve fibre responses, as well as a superior prediction of chronaxie time of 340  $\mu$ s versus 15–45  $\mu$ s of the Frijns model,  $^{26}$  by changing the cable morphometric properties to those of the human. Huxley  $^{27}$  also suggested that the HH model can be modified to represent firing behaviour at a Ranvier node by accelerating the activation and inactivation of membrane sodium ion permeability fourfold.

The study reported here proposes modifications to the Hodg-kin–Huxley equations to describe action potentials generated at the Ranvier node of a human sensory nerve fibre, with the objective of enhancing the prediction of temporal characteristics of the human peripheral auditory system. The nerve fibre model consists of two parts—a cable model based on a combination of the models by Rattay *et al.*<sup>6</sup> and Blight, <sup>28</sup> together with the newly

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modified HH model which describes the propagating action potential dynamics at the Ranvier nodes.<sup>29</sup> The architecture of the new model was derived in two phases. First, the HH model was modified using human physiological data from the literature; and second, the combined cable model was constructed using published human sensory nerve fibre morphometric data. The model was validated against experimental results from the literature. Lastly, the morphometric parameters of the nerve fibre model were changed to a Type I peripheral auditory nerve fibre (ANF) and coupled to a volume-conduction model of the cochlea.

#### Methods

## The human Ranvier node model

The human nodal model was based on the original HH model<sup>21</sup> for unmyelinated nerve fibre dynamics. The HH model's ionic membrane current ( $I_{ion}$ ) is described in terms of the Na<sup>+</sup>, K<sup>+</sup> and leakage (L) ionic conductances,

$$I_{\text{ion}} = g_{K}^{\text{max}} n^{4} (V - V_{K}) + g_{Na}^{\text{max}} m^{3} h (V - V_{Na}) + g_{L} (V - V_{L}) . \tag{1}$$

The dynamics of the opening probabilities (m, h and n, respectively) of the ion channels are described by

$$\frac{dx}{dt} = \alpha_x(V)[1-x] - \beta_x(V)x, \quad x = m, n, h$$
 (2)

with  $\alpha_x(V)$  and  $\beta_x(V)$  the voltage-dependent opening and closing rates of the ion channels, which give an indication of the membrane's permeability to the specific ion species [refer to Equation (A8) in the appendix]. Although these equations describe the time dependence of the action potential, the temperature dependence of the equation parameters is ignored in the original HH model

The above equations were modified by changing the parameter values describing the ionic and leakage conductances, corresponding equilibrium potentials, the membrane rest potential and capacitance, and axoplasmic resistance to reflect those of the human, as well as to include the temperature dependence of the parameters. Conductance and equilibrium potential values were deduced from experimental studies by Scholz  ${\it et~al.}, ^{30}$  Reid et al.31 and Schwarz et al.32 As these studies were performed at different temperatures and using different Na+ and K+ ion concentrations in intra- and extracellular replacement Ringer's solutions, all values had to be corrected for temperature and concentration differences. Temperature dependence of the resting membrane potential  $(V_{res})$  was expressed through the use of a  $Q_{10}$ factor, with a value of 1.036 for all  $T \le 20^{\circ}$ C and 1.035 for all T >20°C. Membrane permeability of the different ion species was increased or decreased by multiplying the rate equations  $\alpha_{\nu}(V)$ and  $\beta_{r}(V)$  by selected factors. The temperature dependence of the permeability, as expressed through  $Q_{10}$  factors, also differed from the HH model. Electrical parameters were recalculated as values per unit area by assuming a fibre diameter of 15  $\mu$ m and nodal area of  $50 \,\mu\text{m}^2$ . <sup>33</sup> A nodal membrane capacitance ( $C_m$ ) of 1.4 pF, i.e. 2.8 μF cm<sup>-2</sup>, was used.<sup>32</sup> Palti and Adelman<sup>34</sup> found a positive temperature coefficient of about 1.36% per °C, in the temperature range 3–21°C for  $C_m$  of squid, no significant change in  $C_m$  in the range 21–42°C, and a sharp increase of at least 23% per °C for temperatures above 42°C. No similar studies have apparently been conducted on mammals, and thus the assumption was made that  $C_m$  would be constant in the temperature range 20–37°C. The shape and amplitude of the action potential were not dependent on the axoplasmic resistivity  $(\rho_{ax})$  and a value of 0.25 Ω.m at 37°C was used.35 Schwarz et al.32 measured the ionic membrane currents and action potentials at the

Ranvier node in partially demyelinated human peripheral nerve fibres. However, no morphometric nerve fibre data were given. By assuming a fibre diameter of  $15\,\mu\mathrm{m}$  and a nodal area of  $50\,\mu\mathrm{m}^2$ , the rise and fall times and amplitudes at 20 and 25°C could be estimated from the action potential plots and used for model validation.<sup>33</sup>

### The nerve fibre cable model

The human nerve fibre model was based on the cable model of Rattay,<sup>6</sup> which assumed a propagating action potential driven by Hodgkin–Huxley dynamics,<sup>21</sup> but was modified to a simple double cable structure. Two nerve fibre types were modelled: 1) a general sensory nerve fibre consisting only of an axon, and 2) a Type I auditory nerve fibre, which consisted of a dendrite, soma and axon. The dendrite and axon were divided into *k* cylindrical compartments, with the axon diameter about twice that of the dendritic diameter.

*General sensory nerve fibre model.* The change in the membrane potential  $(V_k)$  at the centre of the k-th compartment was described by the cable equation

$$\frac{d(V_k)}{dt} = \left[ -I_{\text{ion},k} + \frac{(V_{k-1} + V_{e,k-1}) - (V_k + V_{e,k})}{R_{k-1}/2 + R_k/2} + \frac{(V_{k+1} + V_{e,k+1}) - (V_k + V_{e,k})}{R_{k+1}/2 + R_k/2} \right] / C_m .$$
(3)

The membrane potential is given by  $V_k = V_{i,k} - V_{e,k} - V_{res}$ , with  $V_{i,k}$  the intracellular potential,  $V_{e,k}$  the extracellular potential and  $V_{res}$  the resting membrane potential.  $C_{m,k}$  gives the membrane capacitance and  $R_k$  the axoplasmic resistance to the neighbours at the k-th compartment. Externally applied stimulation is incorporated through the external potential field ( $V_e$ ). For the general sensory nerve fibre,  $V_e$  was calculated by stimulation with a monopolar external electrode positioned sufficiently far away from the nerve fibre that the external potential field did not distort the propagating action potential.

The Ranvier nodes were considered unmyelinated active axolemmae using the new human Ranvier node model dynamics. Both  $R_k$  and  $C_{m,k}$  were temperature dependent.  $R_k$  is a function of  $\rho_{\rm ax}$  and the action potential's conduction velocity  $(v_c)$  depends significantly on the latter.<sup>36</sup> Schalow  $et~al.^{37}$  classified human peripheral sensory nerve fibre groups by conduction velocity and fibre diameter and determined  $v_c$  values of about 64.0 m/s for fibres having a diameter of 15.0  $\mu$ m and about 10.0 m/s for 3.0–4.0- $\mu$ m-diameter fibres at body temperature. A value of 0.25  $\Omega$ .m at 37°C for  $\rho_{\rm ax}$  and (1.35)<sup>-1</sup> for its corresponding  $Q_{10}$  factor were selected to give a  $v_c$  value of 56.5 m/s at 37°C for a 15.0- $\mu$ m-diameter axonal fibre stimulated with a monopolar external electrode positioned 10.0 mm from the fibre.

The myelinated internodes were simple double cable structures as modelled by Blight, <sup>28</sup> who considered the leaky myelin sheath and axolemma to be combined together in series, having a high resistance and low capacitance. Just as in the Rattay *et al.* <sup>6</sup> model, the ionic membrane currents under the myelin sheath were ignored, leading to the assumption of constant internodal membrane conductance. The full set of equations and parameter values for the auditory nerve fibre cable model are listed in the appendix.

The auditory nerve fibre model. The Type I human ANF model was based on the cable model of Rattay et al., but with the axon replaced with the generalized human sensory nerve fibre model. The dendrite and axon are divided into cylindrical compartments, whereas the soma is assumed to be spherical. The nerve fibre morphology is shown in Fig. 1. An additional

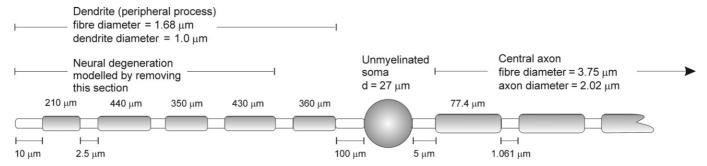


Fig. 1. Representation of the human auditory nerve fibre. The dendrite is considered myelinated, with five internodes of variable lengths. The myelin of these internodes is assumed to be a perfect insulator. The presomatic segment is divided into three sections (not indicated on sketch). Although the soma employs the Hodgkin–Huxley dynamics as described by Rattay et al., is diameter is smaller than in the Rattay model. The axonal section employs the human sensory nerve fibre dynamics and morphometry and morphology. Unlike in the Rattay model, internodal lengths are considered constant and are shorter than in the Rattay model.

modification to the ANF model was a shortening of the dendrite to fit the somal position of its counterpart in the volume-conduction cochlear model. Two types of Type I spiral ganglion cells exist with somal diameters varying between 24.6  $\mu$ m and 29.9  $\mu$ m. <sup>38,39</sup> A value of 27.0  $\mu$ m was thus assumed in the model.

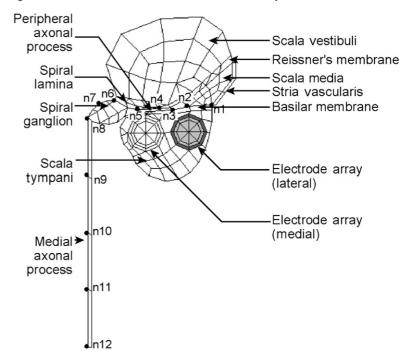
Ranvier nodes were unmyelinated active axolemmae with only the axon based on the general sensory nerve fibre model. SEM photographs and measured human auditory myelinated large Type I nerve fibres indicate an average fibre diameter of about 3.35  $\mu m$ across the range from basal to upper middle cochlear turns, and an axonal diameter of  $2.34 \,\mu\text{m}$ . This gives a fibre-to-axon diameter ratio of 0.7, in agreement with values ranging from 0.63-0.8, depending on the fibre thickness. 41 The relationship between fibre diameter and internodal length that was used in the model, however, was only valid for fibre diameters greater than  $3.4 \,\mu\text{m}$ . As the largest diameter in the basal turn described in the Rosbe et al. 38 study was  $4.3 \,\mu m$  and the smallest in the upper middle turn  $3.2 \mu m$ , the average, being 3.75  $\mu$ m, was assumed in the ANF model.

Modelling the degenerate nerve fibre. Retrograde neural degeneration, in which the dendrites are lost but the somas and axons survive, occurs in persons with profound sensory hearing loss.<sup>39,42</sup> The degree of retrograde neural degeneration depends on the severity of tissue alterations in the Organ of Corti during the original insult and concerns only Type I ANFs.<sup>39</sup>

Since not all ANFs are affected by this phenomenon, both an unaffected (non-degenerate) ANF and an affected (degenerate) ANF were considered in this study. A degenerate version of the ANF model, similar to Frijns  $et\ al.^{43}$  and Briaire and Frijns,  $^{17}$  was used to simulate the effect of neural degeneration, that is, to simulate a nerve fibre with almost no peripheral (dendritic) process. This was effected by removing the first four nodal and internodal sections of the modelled ANF (refer to Fig. 1). The first node in the degenerate version of the ANF model thus corresponded to node  $n_5$  in Fig. 2. An axon-only version was also modelled to simulate retrograde degeneration observed in long-term profoundly deaf persons<sup>39</sup> by removing all dendritic nodes and internodes up to and including the soma in the ANF model.

## The volume-conduction cochlear model

The ANF model was coupled to a 3D spiralling finite element volume-conduction model of the first one-and-a-half turns of the electrically stimulated human cochlea (Fig. 2). For more details of the volume-conduction model, refer to Hanekom.<sup>9</sup>



**Fig. 2**. The two-dimensional finite element model geometry of a plane through the cochlea. The medial and lateral positions of the electrode array are shown as circular geometries towards the top of the scala tympani. The labels  $n_1$  to  $n_{12}$  indicate the twelve locations (nodes) in the neural tissue where electrical potential values are calculated. (Figure used with permission from Hanekom.<sup>9</sup>)

Each of the nerve fibres present in the model was described by the ANF model equations, where node  $n_1$  in Fig. 2 was the first node of the nerve fibre. The external potential ( $V_e$ ) used to stimulate the ANFs was calculated from the output from the volume-conduction cochlear model.

The cochlear model is designed to allow two possible electrode array positions, one medial and one lateral, relative to the modiolus. The Nucleus 24 straight array, which, after implantation, is positioned close to the outer wall of the cochlea,<sup>44</sup> is modelled with the lateral array, whereas the contour array, which lies close to the modiolus,<sup>44,45</sup> is modelled with the medial array. The straight array was modelled with full-band electrodes and the contour array with half-band electrodes.<sup>44,46</sup> The modelled cochlea was stimulated with a monopolar electrode configuration of the straight and contour arrays, respectively, with the electrode located in the basal cochlear turn.

## Model output calculations

All calculations were performed in Matlab 7. Differential equations were numerically solved using the ode15s numerical solver. This means was selected because the modified equa-

Table 1. Simulated characteristics of the human nodal model compared with experimentally estimated results from the human Ranvier nodes.

Parameter	Temperature (fibre diameter 15.0 μm) (°C)	Value			
		Human Ranvier node model	Experimental results	Reference	
Rise time (μs)	20	270	270	32	
	25	205	204	32	
	37	123	120	33	
Fall time (µs)	20	1870	1829	32	
\(\frac{1}{2}\)	25	1448	1464	32	
	37	784	470	33	
Chronaxie (µs)	37	65.5	$64.9 \pm 8.3$	60	

tions proved to be too stiff to solve using the ode45 and ode23t solvers.

The action potential (AP) was characterized by its amplitude and rise and fall times. Frijns *et al.*<sup>14</sup> approximated the action potential as a triangle, with the apex at the maximum amplitude and the rising and falling edges cutting the action potential curve at 10% of the maximum amplitude.

Stimulation pulses were square monophasic. The human Ranvier node model was developed to be stimulated internally and externally. During the development and optimization procedures, the modelled Ranvier node was stimulated internally. An anodic pulse was used to depolarize the membrane to create an AP having the same characteristics as the AP measured by Schwarz *et al.*<sup>32</sup> To aid comparison of AP characteristics between the Ranvier node and nerve fibre models, the same pulse was used to optimize the nerve fibre models. Anodic pulse stimulation of all models was therefore performed.

The external environments of the human Ranvier node and nerve fibre cable models were considered infinite, isotropic and homogeneous with an external resistivity ( $\rho_{\rm e}$ ) of 0.3 k $\Omega$ .cm at 37°C. <sup>26</sup> External stimulation was thus considered purely resistive and given by

$$V_e = \frac{\rho_e I_{\text{stim}}}{4\pi r_{\text{dist}}} \quad [\text{mV}] \tag{4}$$

with  $r_{\rm dist}$  the distance between the node and the electrode. The external environment of the ANF model consisted of the cochlear model, with all cochlear tissues considered purely resistive

Strength–duration behaviour was characterized by the rheobase current and chronaxie time. Thresholds were calculated using either the monopolar external electrode or the output from the volume-conduction model as external stimulation, depending on the nerve fibre under consideration. Stimulation pulses were square monophasic and ranged from 0.2–2.0 ms in duration. The strength–duration curve was fitted with the linear relationship

$$I_{\rm th}t = I_{\rm rb}(t + \tau_{\rm ch}) \tag{5}$$

with  $I_{\rm th}$  the threshold current ( $\mu$ A), t the pulse duration ( $\mu$ s),  $I_{\rm rb}$  the rheobase current ( $\mu$ A) and  $\tau_{\rm ch}$  the chronaxie time ( $\mu$ s). <sup>33,47,48</sup>

The absolute (ARP) and relative (RRP) refractory periods characterized the way the nerve fibre will behave in a refractory manner. The nerve fibre was initially stimulated with a 0.1 ms monophasic pulse with amplitude 20% above  $I_{\rm th}$ . Stimulation with a second 0.1 ms monophasic square pulse resulted in a second propagating action potential. The absolute refractory period was defined as the maximum interval between the two pulses in which no second pulse, with amplitude of up to 400%  $I_{\rm th}$ , could be elicited. The relative refractory period was the minimum interval between the two pulses in which an impulse of at most 101%  $I_{\rm th}$  was required to elicit the second pulse.  $^{33}$ 

#### Results

Simulations based on the human Ranvier node model, as well as the nerve fibre cable models, were performed in Matlab. External stimulation of the Node of Ranvier and the general sensory nerve fibre models was provided by a monopolar external electrode, whereas for the ANF model the external potential distribution inside the cochlea was calculated with a volume-conduction cochlear model stimulated with Nucleus 24 straight and contour electrode arrays, respectively.

#### The human Ranvier node model

The modelled rise and fall times of the AP were calculated at 20 and 25°C and compared with experimentally estimated results (Table 1). An example of sub-threshold and supra-threshold action potentials simulated at 25°C is shown in Fig. 3. Rise times were 0.4% and 0.5% longer at 20 and 25°C, respectively, than the experimental values, whereas the fall times were 2.2% longer and 1.1% shorter, respectively. No experimental values were measured at 37°C, but values of 120  $\mu$ s rise time and 470  $\mu$ s fall time were previously predicted (Table 2). Comparison with these values indicated a 2.5% longer rise time and a 67% longer fall time for the human nodal model. The rise time decreased by 24% from 20 to 25°C, corresponding to a  $Q_{10}$  factor of  $(1.73)^{-1}$ , while the fall time decreased by 23%  $[Q_{10}$  factor of  $(1.67)^{-1}$ ]. This decrease in the fall time is steeper than the estimated 20% decrease  $[Q_{10}$  factor of  $(1.56)^{-1}$ ] of the experimental results.

Simulated action potential amplitudes were just below  $117\,\text{mV}$  at  $20\,\text{and}\,25^\circ\text{C}$  and also just below  $115\,\text{mV}$  at  $37^\circ\text{C}$ , following the accepted trend of an amplitude decrease with rise in temperature.  $^{26,33}$ 

## The nerve fibre cable model

Rise and fall times of the general sensory nerve fibre cable model simulated at 37°C were 4.2% shorter and 60.0% longer than the previously published results predicted (Table 2). AP

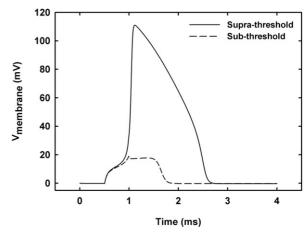


Fig. 3. Sub-threshold and supra-threshold action potentials simulated at 25°C.

**Table 2.** Simulated characteristics of the general sensory nerve fibre model (axon only) compared with the Wesselink model.<sup>33</sup>

Parameter	Temperature	Value			
	(°C)	Human general sensory nerve fibre model <sup>a</sup>	Experimental results <sup>33 b</sup>		
Rise time (µs)	20 37	271 111	237 120		
Fall time (µs)	20 37	1814 751	2172 470		
Conduction velocity (1/µs)	37	3.0–3.8	3.1–4.3		
ARP (ms)	37	0.97	1.0		
RRP (ms)	37	3.0	3.1		

 $<sup>^</sup>a$  Fibre diameter 15.0  $\mu m$  . Electrode 10.0  $\mu m$  away from fibre. Conduction velocity and chronaxie calculated for fibre diameters 5.0–15.0  $\mu m$  .

amplitudes were around 2 mV lower than those of the Ranvier node model at the respective temperatures.

The conduction velocity ( $v_c$ ) for the 15.0  $\mu$ m nerve fibre was 12.4% lower (56.5 m/s) than the 64.5 m/s predicted by the Wesselink model and experimental results.<sup>37</sup> Conduction velocities showed a fibre diameter dependency, decreasing with a reduction in fibre diameter (Fig. 4). Values compared well with those measured by Schalow  $et~al.^{37}$  for fibre diameters thicker than 12.5  $\mu$ m, but  $v_c$  values were underestimated for thinner fibres. To aid comparison of  $v_c$  values of fibres of different diameter, it is customary to list these values in terms of  $v_c$  per fibre diameter,<sup>33</sup> as listed in Table 2.

Chronaxie times ( $\tau_{ch}$ ) were calculated for fibre diameters from 5.0 to 15.0  $\mu$ m (Fig. 5). Stimulation was performed with a single external monopolar electrode positioned 10.0 mm from the fibre. Pulses were monophasic and ranged from 0.2–2.0 ms in duration.  $\tau_{ch}$  values were in the same range, but 3.5–26.2% shorter than the Wesselink model<sup>33</sup> results. Unlike the latter,<sup>33</sup> however,  $\tau_{ch}$  values did not decrease monotonically with increase in fibre diameter, but rose again for diameters larger than 10.0  $\mu$ m (Fig. 4). Reasons for this are unclear and require further investigation.

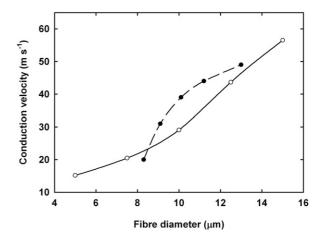
Refractory periods were calculated for a 15.0- $\mu$ m-diameter fibre and were similar to refractory periods previously calculated for a 10.0- $\mu$ m fibre (Table 2).

A 3.75-µm-diameter degenerate ANF fibre located in the basal cochlear turn was stimulated with a monopolar electrode configuration from either the contour or straight electrode arrays. Stimulation pulses were square and monophasic. All simulations were performed at 37°C.

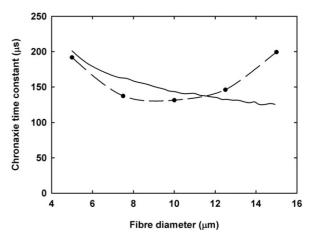
For an axon-only nerve fibre stimulated with the contour array, a rise time of  $144 \,\mu s$  and a fall time of  $749 \,\mu s$  at  $37^{\circ}C$  were calculated. The rise time was 17% shorter and the fall time was 14.7% longer than for a similar general sensory nerve fibre. Results for the straight array were similar to those for the contour array.

Chronaxie times were calculated for stimulation with the contour and straight arrays, respectively, by fitting the strength–duration curves with the linear Weiss relationship.

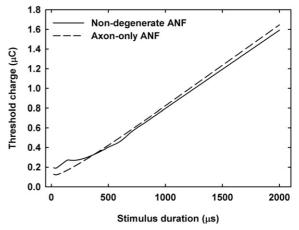
Strength–duration curves were also fitted with the exponential relationship of Lapicque, <sup>49</sup> but the Weiss relationship provided a better match. Figure 6 shows the curves for non-degenerate and axon-only ANFs plotted as threshold charges for contour array stimulation. The curve for a degenerate ANF is similar to the non-degenerate curve and is omitted for clarity. In general,  $\tau_{\rm ch}$  values decreased with a modelled increase in fibre degeneracy as shown in Table 3. Calculated  $\tau_{\rm ch}$  values for contour and straight arrays predicted about 10% higher values in the former case



**Fig. 4.** Conduction velocities, simulated at 37°C, plotted against fibre diameter for general sensory nerve fibre model (continuous line; open circles). Experimental data from Schalow *et al.*<sup>37</sup> (dashed line; closed circles).



**Fig. 5.** Chronaxie time constant plotted against fibre diameter for general sensory nerve fibre model (dashed line, closed circles). Simulation temperature was 37°C. Results from Wesselink *et al.* <sup>33</sup> model reproduced for comparison (continuous line).



**Fig. 6.** Strength–duration curves for non-degenerate and axon-only ANFs plotted as threshold charges. Fibres were stimulated with the contour array at 37°C. The curve for the degenerate ANF was omitted for clarity.

compared with the latter for non-degenerate and degenerate fibres, whereas values for the axon-only fibres were similar. A  $\tau_{\rm ch}$  value of about 86.1  $\mu s$  was calculated for an axon-only fibre, irrespective of the electrode array used. As  $\tau_{\rm ch}$  values increase with a decrease in fibre diameter, this time is shorter than the chronaxie time would be for the same fibre when stimulated with an external electrode.  $\tau_{\rm ch}$  values also decrease when the

<sup>&</sup>lt;sup>b</sup>Fibre diameters 5.0–15.0 μm. ARP and RRP calculated for a 10.0-μm-fibre diameter.

**Table 3.** Simulated characteristics of a human Type I ANF in the basal cochlear turn, using monopolar–monophasic stimulation for the Nucleus 24 straight and contour electrode arrays\*, respectively.

Parameter	Specifications	Human ANF model (contour)	Human ANF model (straight)	
Chronaxie (µs)	Non-degenerate ANF	162.0	148.6	
/	Degenerate ANF	158.9	143.3	
	Axon-only	86.1	86.1	
Conduction velocity (1/µs)	Non-degenerate ANF	1.99	2.16	
, , ,	Degenerate ANF	1.94	1.94	
	Axon-only	3.42	2.77	
ARP (ms)	All ANF versions	0.8	0.9	
RRP (ms)	Non-degenerate ANF	3.2	3.1	
,	Degenerate ANF	3.1	2.9	
	Axon-only	3.0	2.9	

<sup>\*</sup>Three versions of the ANF were modelled, indicating the degree of degeneracy of the fibre. All simulations corresponded to performance at 37°C.

electrode to fibre distance decreases. <sup>1,33</sup> In contrast, stimulation with the contour array resulted in longer  $\tau_{ch}$  values than with the straight array (Table 3).

Simulations predicted a rheobase current of 0.77 mA for a non-degenerate, 0.79 mA for a degenerate, and 0.81 mA for an axon-only fibre when stimulated with the contour array. Rheobase current values for straight array stimulation were about two-and-a-half times higher than for contour array stimulation.

Simulated ARP values compared well and were similar to those of general sensory nerve fibres, being  $800-900\,\mu s$  irrespective of the electrode array used for stimulation (compare Tables 2 and 3).

Simulated RRP values differed, depending on the stimulation array used. Values for axon-only, degenerate and non-degenerate fibres are listed in Table 3. For a non-degenerate fibre the RRP value was 6% longer than for a degenerate fibre when stimulated with the straight array, and 5% longer when stimulated with the contour array, whereas they were about 3% longer for stimulation with the latter array.

Conduction velocities and latencies were determined for monopolar stimulation with a monophasic pulse of 0.5 ms. Calculated  $v_{\rm c}$  values for an axon-only fibre were 12.8 m/s (contour array) and 10.4 m/s (straight array) compared to 9.0 m/s for a similar general sensory nerve fibre externally stimulated.  $v_{\rm c}$  values per fibre diameter are listed in Table 3. For a degenerate fibre,  $v_{\rm c}$  values were about 43% slower than for stimulation of an axon-only fibre for contour array stimulation and about 30% slower for straight array stimulation. Non-degenerate fibre  $v_{\rm c}$  values were 3% faster than for degenerate fibres for contour array stimulation and about 11% faster for straight array stimulation

ANF performance is not measured in terms of  $v_{\rm c}$  values and hence these results were translated into propagation times and latencies. AP propagation time is defined as the time taken by the AP to travel the length of the modelled ANF. These propagation times for full, degenerate and axon-only fibres, respectively, were 0.49 ms, 0.30 ms and 0.13 ms for contour array stimulation, and 0.45 ms, 0.30 ms and 0.163 ms for straight array stimulation. Latencies for degenerate ANF fibres were about 22% longer with contour versus straight array stimulation. Comparison between latencies of non-degenerate and degenerate ANF fibres predicted an approximate 52% reduction irrespective of electrode array used.

# Discussion and conclusion

This study presents a new model to simulate the human Type I auditory nerve fibre. The Ranvier node membrane dynamics

were based on the Hodgkin–Huxley model, modified with human physiological and morphometric data from experimental studies by Scholz *et al.*,<sup>30</sup> Reid *et al.*<sup>31</sup> and Schwarz *et al.*<sup>32</sup> The new model was a simple double cable structure based on models by Rattay<sup>6</sup> and Blight,<sup>28</sup> together with additional morphometric data from the literature. Two versions of the cable model are presented, namely a general myelinated sensory and a Type I ANF model.

There are no experimental results regarding the fall and rise times, nor of the conduction velocity of the propagating AP in auditory nerve fibres. Instead, these fibres are classified in terms of temporal characteristics, including ARP and RRP properties, strength–duration behaviour and conduction latencies (see, for example, a review by Abbas and Miller¹). All these characteristics depend, in part, on the type and strength of the stimulation pulse, the electrode configuration and electrode–fibre distance.¹ In this study stimulation of the modelled cochlea was performed with two different Nucleus 24 electrode arrays in monopolar configuration, with the contour array positioned closer to the axonal part of the nerve fibres than the straight array. Stimulation pulses were square monophasic.

Stimulation in cochlear implants typically takes the form of pulse trains or continuous stimulation. The refractory properties of the neural membrane play an important role in the choice of stimulation used. First, it places a lower limit on the inter-phase/ inter-pulse interval, raising the threshold stimulus intensity needed to elicit further responses during the RRP, and second, it places an upper limit on the stimulus rate used. Mean ARP values between 0.33 ms<sup>50</sup> and 0.7 ms<sup>51</sup> and a mean recovery time constant of about 0.41 ms were estimated for cat auditory fibres<sup>50</sup> and an RRP of up to 5 ms.1,51 Electrically evoked compound action potential (ECAP) studies on humans suggest an ARP value greater than 0.5 ms and an RRP value around 5 ms. 52 These values are 41% shorter for the ARP and 72% longer for the RRP than the new model for a degenerate fibre predicts. Compared to ARP and RRP values for human sensory nerve fibres, however, the new model may predict refractory properties better than the cat models.

Although the somas and axons of the Type I ANF fibre degenerate at a slower rate than the dendrites, SEM studies of long-term profoundly deaf persons show a sharp decrease in the number of surviving fibres, compared with people who have been deaf for less time.<sup>39</sup> Model predictions suggested that retrograde degeneration may not influence the ARP of ANFs, but this prediction has not been studied experimentally. The simulated RRP results for a non-degenerate fibre were longer than for degenerate and axon-only fibres, possibly suggesting that long-deaf persons may benefit from implants employing faster

stimulation rates than for recently deaf people.

Latency measurements on cats with normal hearing and those in which the dendrites and somas were removed during a cochlear laminectomy, showed a double peak response in the normal-hearing cats, whereas in the laminectomated cats only the first response peak appeared.53 Van den Honert and Stypulkowsky<sup>53</sup> proposed that the former reflected peripheral dendritic excitation and that the change in latency indicated a shift in excitation to a more centrally located site along the fibre. More recent ECAP studies on cats also suggested this proposition.50,54-56 Neural telemetry response (NRT) measurements in human subjects implanted with the Nucleus 24 arrays indicated the existence of similar response waveforms having either single positive or double positive peaks.<sup>57</sup> The NRT waveform is characterized by a negative (N1) peak, followed by the positive peak(s) (P1 and P2). For a single positive peak waveform, the N1 peak occurred around 0.3-0.4 ms and the P1 peak around 0.6–0.7 ms. In the double positive peak responses, the N1 peak occurred at times too short to measure with the NRT system (<0.11 ms), whereas the P1 and P2 peaks occurred around 0.4-0.5 ms and 0.6-0.7 ms, respectively. Calculated latencies for the single-peak case are hence ~0.3 ms. For the double-peak case, it is  $\sim$ 0.4 ms and  $\sim$ 0.7 ms, whereas the resulting propagation times range between 0.2 and 0.3 ms. Lai and Dillier<sup>57</sup> concluded that the double-peak response indicated the existence of almost intact ANF fibres, whereas the single-peak response might be due to retrograde degeneration. Latencies calculated for single fibres with the present human ANF model for degenerate fibres were in agreement with the suggestion that longer latencies indicate dendritic presence and stimulation of more intact ANFs, owing to the additional time it takes the AP to propagate along the fibre.

The strength–duration function gives the relationship between the threshold stimulus current necessary to excite a fibre and the stimulus duration. Strength-duration behaviour is characterized by the rheobase current and chronaxie time. Strength–duration curves measured for normal and damaged cat auditory nerve fibres show that for the damaged fibres, where only the axons are left intact, thresholds at short pulse durations appear lower than for the normal fibres (refer to Fig. 5 of van den Honert and Stypulkowsky<sup>53</sup>). However, the recording electrodes used for the damaged fibres were placed closer to the axons than in the case of recordings from normal fibres. If the recording sites were kept the same for both cases, thresholds measured for damaged fibres would be higher than for normal fibres. This is most probably because the damaged fibres are only excited in the axonal (central) part of the fibre, while for normal fibres excitation could occur either peripherally or centrally and the peripheral process is closer to the electrodes.<sup>53</sup> Simulations with the present model predicted higher rheobase values for axon-only fibres compared to degenerate and non-degenerate fibres. Values were also higher for straight array stimulation compared to contour array stimulation. However, the rheobase value calculated for a non-degenerate ANF fibre stimulated with the contour array was lower than for straight array stimulation. Because both types of stimulation activated the fibre in the dendritic process, however, this was contrary to the expected trend of similar values for dendritic excitation, which can be attributed to the model itself. In the Methods section, mention was made of the fact that the relationship between fibre diameter and internodal length that was used in the axonal part of the modelled fibre was only valid for fibre diameters greater than 3.4  $\mu$ m. The dendritic diameter is only 1.0  $\mu$ m, hence the dendritic section of the fibre could not be replaced with the general sensory nerve fibre

dynamics. Because of the difference in dynamics between the original Rattay<sup>6</sup> model and the general sensory nerve fibre model, we expect that the present ANF model's excitation behaviour will differ from a model where the squid dynamics of the dendritic as well as the somal sections are also replaced with human dynamics.

Van den Honert and Stypulkowsky<sup>53</sup> reported significantly shorter (118  $\mu$ s versus 276  $\mu$ s) chronaxie times for fibres from which the dendrites and somas were removed (leaving only the axons intact) compared to normal functioning fibres, indicating axonal excitation in the former. In both cases the fibres were electrically stimulated. Although the chronaxie time of 86.1  $\mu$ s for an axon-only simulated fibre is much shorter than for a similar general sensory human fibre, chronaxie times decreased as the modelled fibre became progressively more degenerated.

According to Abbas and Miller,¹ chronaxies depend on the electrode–axon distance. A closer separation may lead to a decrease of the strength–duration time constant and thus shorter chronaxies. The trend predicted by the present model was in contrast to the experimental observation that the chronaxie times for non-degenerate and degenerate fibres increased when the electrode–fibre distance was reduced, confirming the shortcoming in the model regarding dendritic modelling discussed previously.

In myelinated nerve fibres the total nodal sodium current  $(I_{\rm Na})$  is subdivided into two functionally distinct currents. The largest proportion (~98%) has fast activating and inactivating kinetics and is referred to as a transient  $(I_{\rm Nat})$  current. The smaller portion (~2%) activates equally fast, but at membrane potentials 10–20 mV more negative than the transient, and inactivates slowly or not at all, thus forming a persistent  $(I_{\rm Nap})$  current. B Bostock and Rothwell developed a model similar to the one by Schwarz et al. A having only  $I_{\rm Nat}$ . They estimated a chronaxie time of 176  $\mu$ s at 37°C. The model was then changed to include a 2.5%  $I_{\rm Nap}$  activated at 10–20 mV more negative than  $I_{\rm Nat}$ . The chronaxie time then increased to 535  $\mu$ s. The new ANF model is in part based on parameters from Schwarz et al. and hence simulates  $I_{\rm Nat}$ . Although the model results are in fair agreement with experimental results, addition of  $I_{\rm Nap}$  should give even more realistic predictions.

In conclusion, it seems possible to modify the Hodgkin–Huxley equations to describe action potentials generated in the Ranvier node of a human sensory nerve fibre and apply these modifications to create more realistic neural models of the electrically stimulated human auditory system. However, the squid-based dynamics of the dendritic and somal sections of the fibre model need to be replaced with human dynamics to account more fully for experimentally observed ANF excitation behaviour.

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## **Appendix**

Full equations and parameter values for the human auditory nerve fibre model follow. The model was run at body temperature (37°C), although the temperature dependence of the parameters is also stated. The model was based on the cable model of Rattay.<sup>6</sup> The dendrite and soma (except for the soma diameter) reproduce Rattay's model and only the axon was replaced by the new model, for which electrical parameter values are listed in Table A1 and morphometric parameter values in Table A2.

The change in the membrane potential  $(V_k)$  at the centre of the k-th compartment is described by the cable equation<sup>6</sup>

Table A1. Model electrical parameters

Parameter	Value	$Q_{_{10}}$	<i>T</i> ₀ (°C)	Reference
Membrane resting potential ( $V_{\text{rest63}}$ )	−79.4 mV	1.036 for all <i>T</i> ≤20°C 1.035 for all <i>T</i> >20°C	6.3	21, 32, 33 <sup>†</sup>
Gas constant (R)	8.315 J/K.mol			61
Faraday constant (F)	9.649 × 10 <sup>4</sup> C/mol			61
[Na <sup>+</sup> ] <sub>o</sub> /[Na <sup>+</sup> ] <sub>i</sub>	7.210			21, 32, 33, 62#
[K <sup>+</sup> ] <sub>0</sub> /[K <sup>+</sup> ] <sub>i</sub>	0.036			30-32
[Leakage]/[Leakage],	0.0367			30, 32 <sup>††</sup>
Sodium conductance $(g_{Na})$	640.0 mS/cm <sup>2</sup>	1.02	24	30, 62
Potassium conductance $(g_{\kappa})$	60.0 mS/cm <sup>2</sup>	1.16	20	32 <sup>††</sup>
Leakage conductance $(g_i)$	57.5 mS/cm <sup>2</sup>	1.418	24	16, 30, 32 <sup>††</sup>
Axoplasmic (intracellular) resistivity ( $\rho_{ax}$ )	0.025 kΩ.cm	$(1.35)^{-1}$	37	33 <sup>†</sup>
Membrane capacitance $(C_{mem})$	2.8 µF/cm <sup>2</sup>	, ,		32*
Myelin membrane capacitance ( $C_{mv}$ )	0.6 μF/cm <sup>2</sup>			28
Membrane resistance ( $R_{\text{mem}}$ )	$4.871 \times 10^{4} \Omega.\text{cm}^{2}$	$(1.3)^{-1}$	25	28 <sup>†</sup>
Myelin membrane resistance ( $R_{\rm my}$ )	104 $\Omega$ .cm <sup>2</sup>	$(1.3)^{-1}$	25	28 <sup>†</sup>

 $<sup>^{\</sup>dagger}$ Value deduced from reference(s) and then optimized for model.  $Q_{10}$  value not from the reference, but optimized for model.

Table A2. Model morphometric parameters.

Parameter	Value	Reference
Fibre diameter (µm)	3.75	38
Internodal axon diameter (µm)	2.63	*
Myelin layer thickness (µm)	0.016	28
Number of myelin layers (N <sub>mv</sub> )	35	*
Internodal length $(L_{int})$ $(\mu m)$	77.4	33
Nodal diameter (µm)	1.23	*
Nodal length (µm)	1.061	*
Dendrite diameter (µm)	1.0	6
Soma diameter (µm)	27.0	38, 39

<sup>\*</sup>Deduced from previous parameters.

$$C_{m,k} \frac{d(V_k)}{dt} = \left[ -I_{\text{ion},k} + \frac{(V_{k-1} + V_{e,k-1}) - (V_k + V_{e,k})}{R_{k-1}/2 + R_k/2} + \frac{(V_{k+1} + V_{e,k+1}) - (V_k + V_{e,k})}{R_{k+1}/2 + R_k/2} \right].$$
(A1)

The membrane potential is given by  $V_k = V_{i,k} - V_{e,k} - V_{\rm res'}$  having an initial value  $V_k(0)$  equal to 0.  $V_{i,k}$  is the intracellular potential,  $V_{e,k}$  the extracellular potential and  $V_{\rm res}$  the resting membrane potential. The HH-model ionic membrane current  $(I_{\rm ion,k})$  is described in terms of the Na<sup>+</sup>, K<sup>+</sup> and leakage ionic conductances<sup>21</sup>

$$I_{\text{ion,k}}(T) = g_K^{\text{max}}(T)n^4(V_k - V_K(T)) + g_{\text{Na}}^{\text{max}}(T)m^3h(V_k - V_{\text{Na}}(T)) + g_L(T)(V_k - V_L(T)) \left[\mu A/\text{cm}^2\right]. \tag{A2}$$

Equilibrium potentials are given by

$$V_{\text{Na}}, V_{\text{K}}, V_{\text{L}} = \frac{1000RT_{\text{K}}}{F} \ln \left( \frac{[\text{ion}]_{o}}{[\text{ion}]_{i}} \right) - V_{\text{res}} \quad [\text{mV}]$$
(A3)

where R is the universal gas constant, F the Faraday constant,  $T_K$  the temperature (in kelvin) and [ion], /[ion], the extracellular to intracellular ion concentration ratio for Na<sup>+</sup>, K<sup>+</sup> and leakage ions, respectively.

Myelinated internodes are simple double-cable structures. <sup>28</sup> The leaky myelin sheath and axolemma were considered combined together in series, having a high resistance and low capacitance. The total capacitance is given by

$$C_{m,k} = \left(\frac{1}{C_{\text{mem}}} + \frac{N_{\text{my}}}{C_{\text{mv}}}\right)^{-1} \left[\mu F/\text{cm}^{2}\right]$$
 (A4)

Table A3. Parameters used to calculate ion channel gating variables.

Parameter	$Q_{10}$	T <sub>o</sub> (°C)	Α	В	С	D
$\alpha_m$	2.23	20	4.42	2.5	0.1	1
$\beta_m^{"}$	2.23	20	4.42	4.0	18	_
$\alpha_h$	1.5	20	1.47	0.07	20	-
$\beta_h$	1.5	20	1.47	3.0	0.1	_
$lpha_{ns}$	1.5	20	0.2	1.0	0.1	10
$oldsymbol{eta}_{\sf ns}$	1.5	20	0.2	0.125	80	-

with  $c_{\rm mem}$  the same value as the nodal membrane capacitance and  $c_{\rm my}$  the myelin membrane capacitance (Table A1). The internodal conductance is given by

$$g_{\text{int}}(T) = \frac{1}{(N_{\text{my}}R_{\text{my}}(T)) + R_{\text{mem}}(T)} [\text{mS/cm}^2]$$
 (A.5)

where  $N_{\rm my}$  is the number of myelin layers,  $R_{\rm my}$  the temperature-dependent myelin membrane resistance and  $R_{\rm mem}$  the temperature-dependent axolemmal membrane resistance (see Table A2 and Blight<sup>28</sup>).  $I_{\rm int}$  is therefore given by

$$I_{\text{ion,k}}(T) = I_{\text{int}}(T) = g_{\text{int}}(T)V \ [\mu A/\text{cm}^2].$$
 (A.6)

The dynamics of the opening probabilities (m, h and n, respectively) of the ion channels are described by

$$\frac{dx}{dt} = \alpha_x(V_k)[1-x] - \beta_x(V_k)x, \ x = m, \ n, \ h \tag{A.7}$$

with initial values<sup>21</sup> m(0) = 0.5, h(0) = 0.6, and n(0) = 0.32.

Ion channel gating variables are given by

$$\alpha_m, \alpha_n = AQ_{10}^{(T-T_0)/10} \frac{B-CV}{D(\exp(B-CV))-1} \; , \label{eq:alpha_m}$$

$$\beta_m$$
,  $\beta_n$ ,  $\alpha_h = ABQ_{10}^{(T-T_0)/10} \exp\left(\frac{-V}{C}\right)$ ,

$$\beta_h = Q_{10}^{(T-T_0)/10} \frac{A}{1 + \exp(B - CV)}$$
, (A.8)

where constants B, C and D are the original HH-model parameters<sup>21</sup> (Table A3). The factors given by A indicate the acceleration of the activation and inactivation of membrane permeability to the specific ion species, as suggested by Huxley.<sup>27</sup>

<sup>\*</sup>Discrepancy exists between HH-model value and values for the human, hence value optimized for model

<sup>&</sup>lt;sup>††</sup>Values deduced from reference(s) and corrected for concentration and temperature differences.

<sup>\*</sup>Considered constant for temperatures between 20 and 42°C